

## SCALING PROPERTY OF THE DILUTE-DENSE TRANSITION IN 2D GRANULAR FLOWS

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In this paper we report our experimental study of dilute-dense transition in a 2-dimensional granular flow of particle size  $d_0$  and channel width  $D$  with confined exit of width  $d$ . It is found that a maximum inflow rate  $Q_c$  exists, above which the outflow changes from dilute to dense and the outflow rate  $Q(t)$  drops abruptly from  $Q_c$  to a dense flow rate  $Q_d$ . The re-scaled critical rate  $q_c (\equiv Q_c / (D/d_0))$  is found to be a function of a scaling variable  $\lambda$  only, i.e.  $q_c \sim F(\lambda)$ , and  $\lambda \equiv \frac{d}{d_0} \frac{d}{D-d}$ . The form of this new variable  $\lambda$  suggests that the dilute-to-dense transition is a global property of the flow; unlike the jamming transition, which depends only on  $\frac{d}{d_0}$ . It is also found that this transition occurs when the area fraction of particles near the exit reaches a critical value  $0.65 \pm 0.03$ .

*Keywords:* Granular flow; scaling law; phase transition; hopper flow.

The diverse phenomena of traffic flow, pedestrian flow and floating ice<sup>1–3</sup> are believed to be related to the nonlinear behaviors of granular materials which can exhibit both solid-like and fluid-like behaviors.<sup>4,5</sup> These peculiar properties<sup>6,7</sup> give rise to at least to three important “states” in granular flows; namely, the dilute flow, dense flow and the jammed state. The phenomenon of crowding can then be understood as a transition from dilute to dense flows and that of jamming is a transition from dense flows to a jammed phase.

Obviously, the nature and properties of these transitions are governed by the interactions among the granular particles in the flow. In principle, these transitions and “states” can be well characterized when these interactions are known such as in the cases of equilibrium systems.<sup>8,9</sup> Unfortunately, since interactions among the granular particles are highly nonlinear and characterized by dissipations which can be density and even history dependent, it is not surprising to find that these transitions and their states are still not well understood.

An important characteristic of the dense-to-jammed transition is that there is usually only one dominant length scale in the problem; namely the size of the grains ( $d_0$ ).<sup>10</sup> In contrast, it seems that the global scale of the order of the system dimensions is important for the dilute-to-dense transitions. For example, a small bottle-neck of the size of the system can induce the dilute-to-dense transition.<sup>11</sup> In this aspect, the dilute-to-dense transition is similar to transitions in hydrodynamic flows. In the case of hydrodynamic flows, it is well known that different Reynolds numbers are associated with different flow configurations to characterize the flow as laminar or turbulent. The Reynolds number is a global parameter which scales with the system sizes. Intuitively, in the case of dilute-to-dense transitions, a similar global scaling parameter might exist. If such a scaling parameter can be found, its scaling form will probably provide a better understanding of the nature of the dilute-to-dense transitions.

Here we report our results on experiments carried out in a 2D channel to look for a relation between the dilute-to-dense transition and system parameters. We find that the critical flow rate  $Q_c$  at the transition can be well characterized by the scaling variable  $\lambda \equiv \frac{d}{d_0} \frac{d}{D-d}$  where the system parameters are the channel width ( $D$ ), the opening of the channel ( $d$ ) and the diameter of the grains ( $d_0$ ).  $Q_c$  is the critical dilute flow rate above which the outflow changes from dilute to dense. The re-scaled critical flow rate  $q_c (\equiv Q_c / (D/d_0))$  is a function of  $\lambda$  only. This suggests that the dilute-to-dense transition is a global property of the flow; unlike the jamming transition, which depends only on  $\frac{d}{d_0}$ . The transition is found to occur when the area fraction of particles near the exit reaches a critical value  $\sim 0.65 \pm 0.03$ .

The setup of this experiment has been described in detail in a previous paper.<sup>12</sup> The experiment is performed in a two-dimensional (2D) channel of length  $l = 500$  mm, and width in a range of 15 mm to 40 mm. The channel is inclined in an angle of  $20^\circ$ . The width  $d$  of the exit at the end of the channel is controlled to a precision of 0.01 mm. The steel beads are first stored in a hopper. Granular flows are initiated by allowing the steel beads in the hopper to fall by gravity along the channel. The total mass  $M$  of the beads falling out of the exit of the channel is measured as a function of time  $t$  by an electronic balance with sensitivity of 0.02 g and a weighing period of 0.02 s. The flow rate  $Q(t)$  can then be obtained by calculating the slope of the recorded  $M(t)$  curve.

The flow initiated from the hopper is dilute and accelerating. The typical velocity of the steel beads close to the exit is  $1.0 \pm 0.1$  m/sec. Since  $d$  is smaller than  $D$ , two wedges (heaps) will be formed at both sides of the exit with a base length  $(D - d)/2$ . If the inflow rate  $Q_0$  is small or  $d$  is not too small, there will be no net accumulation of beads in the channel other than the two wedges and  $\langle Q(t) \rangle = Q_0$ . This is the regime of dilute flows shown in (a) of Fig. 1(B) of Ref. 15. However, for a given  $Q_0$ , there will be a critical  $d_c$  below which there will be net accumulation of beads in the channel. In other words, if  $d$  is decreased systematically, there will be a sudden drop of  $Q(t)$  when  $d_c$  is reached. This accumulation of beads will proceed until the whole channel is filled with beads and this is the regime of dense flows.

The process of this dilute-to-dense transition induced by reducing  $d$  is recorded in video as shown in Fig. 1(B) of Ref. 15.

Figure 1 shows the  $d$  dependence of  $\langle Q(t) \rangle$  when  $d$  is reduced or increased systematically at a given  $Q_0$ . When  $d$  is large (point A),  $\langle Q(t) \rangle = Q_0$ , the flow is dilute.  $\langle Q(t) \rangle$  remains practically independent of  $d$  when  $d$  is larger than a critical size  $d_c$  (A to B in Fig. 1). When  $d_c$  is reached, the dilute flow turns to dense flow and the flow rate can be reduced instantaneously by several times to drop from  $Q_0$  to  $Q_d$  (B to C). After the transition,  $Q_d$  decreases monotonically with reducing  $d$  (C to D). The flow jams when  $d$  is about the size of four-particle diameters, where permanent arching occurs to cause jamming of the flow (D to E).<sup>10</sup> When increasing  $d$  from the jammed phase, the flow starts as dense flow, and the rate  $\langle Q(t) \rangle$  increases gradually with increasing  $d$  until  $Q_d$  reaches  $Q_0$  and turns back to dilute flow as shown by triangle points in Fig. 2 (D through C to A). There is no sudden increase of the flow rate at the transition from dense to dilute flow. We have checked that the dense flow rate curve  $Q_d(d)$  (the CD part of curve ACD), follows the Beverloo empirical equation  $(d - kd_0)^{3/2}$  with  $k = 4$ <sup>13,14</sup> and is independent of  $Q_0$ .

If the experiment of Fig. 1 is repeated with different  $Q_0$ , a family of paths similar to ABCD of Fig. 1 will be formed, shown as dotted lines in Fig. 1. An important

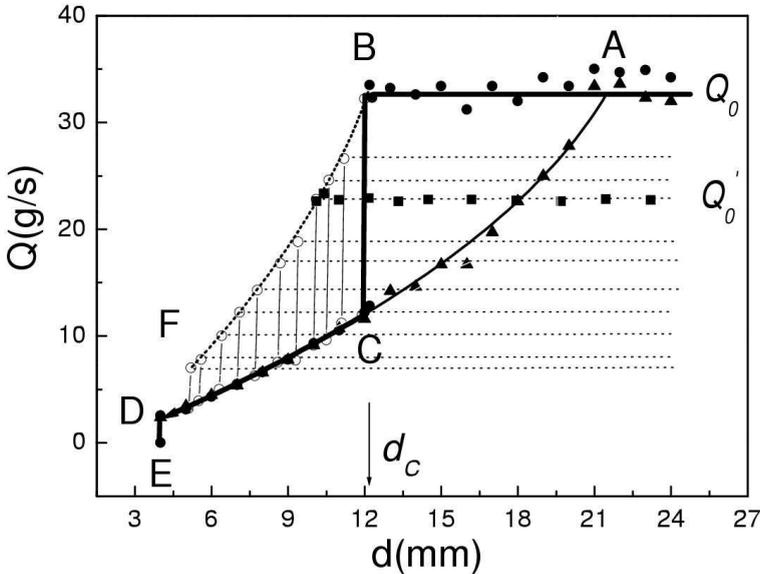


Fig. 1. At a given inflow rate  $Q_0$ , the outflow behaves differently when the flow is dilute or dense. For a dilute flow, a transition from dilute to dense occurs at a critical opening size  $d_c$ , and the outflow follows curve ABCDE. For a dense flow, as  $d$  increases the flow follows curve DC and extended to A with no abrupt change. For different  $Q_0$ , the transition occurs at different  $d$  as shown by dash lines. The curve BF determines the optimal outflow rate at any given  $Q_0$ . For clarity, only two dilute-flow experimental data sets are shown as solid circles and squares.

characteristic of this family of lines is that  $d_c$  decreases with  $Q_0$  shown as broken line BF. That is: for a given  $d$ , there will be a  $Q_0$  (denoted as  $Q_c(d)$ ) at which a dilute-to-dense transition will occur. Figure 1 is the result of experiments with fixed  $D$  but obviously  $Q_c(d)$  will also depend on  $D$  and  $d_0$ . The  $Q_c(d)$  curves for various  $D$  and  $d_0$  have been measured and shown in Fig. 2. Four  $D$ 's:  $D = 30, 25, 20$  and  $15$  mm are tested for  $d_0 = 1$  mm particles, and two  $D$ 's:  $D = 40$  and  $30$  mm are tested for  $2$  mm particles.

In Fig. 2 the upper six curves are  $Q_c(d)$ 's and lower six curves are the corresponding  $Q_d(d)$  curves for particles  $d_0 = 1$  mm and  $2$  mm. If the dilute-to-dense transition scales with the system size, one might expect that the exit width  $d$  should be scaled by channel width  $D$  as  $Q_c(d)/D = F(d/D)$  for some scaling function  $F$ . Instead, a new scaling variable  $\lambda \equiv \frac{d}{d_0} \frac{d}{D-d}$  is found to collapse the scaled critical flow rate  $q_c(\equiv Q_c(d)/(D/d_0))$  into a single scaling curve as shown in Fig. 3. The result in Fig. 3 can therefore be expressed as:  $q_c = q_0 F(\lambda)$ , where  $q_0$  is some constant.

A remarkable feature of the dilute-to-dense transition is that there are strong fluctuations in  $Q(t)$  when  $d$  is set to be close to  $d_c$ . Direct observations of the motions of the beads close to the exit reveal that there are avalanche-like events taking place

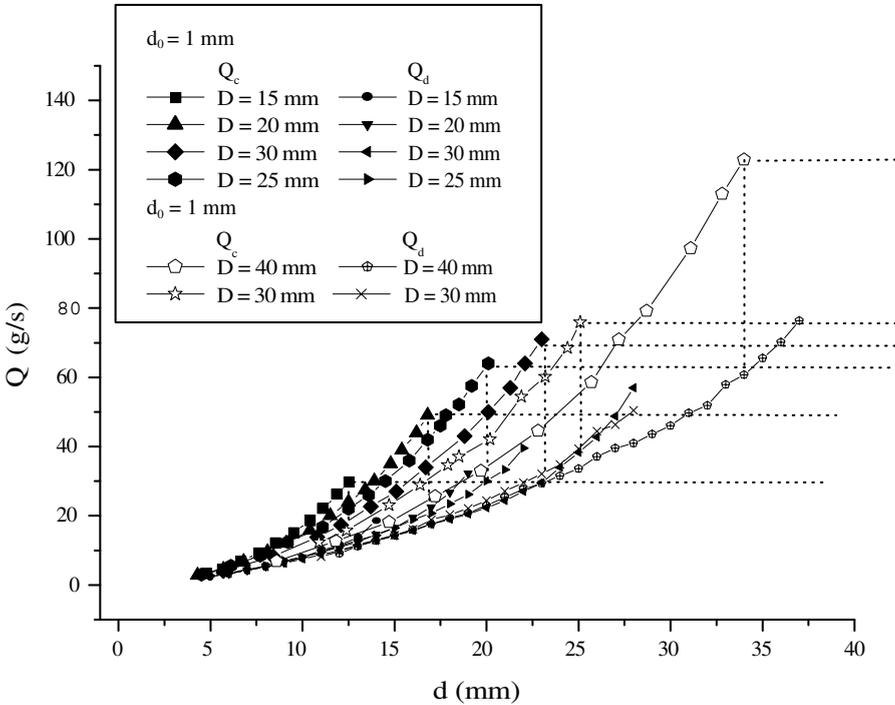


Fig. 2. The  $Q_c$  and  $Q_d$  versus  $d$  of particle size  $d_0 = 1$  mm at channel widths  $D = 30, 25, 20$  and  $15$  mm, and of particle size  $d_0 = 2$  mm at channel widths  $D = 40$  and  $30$  mm.

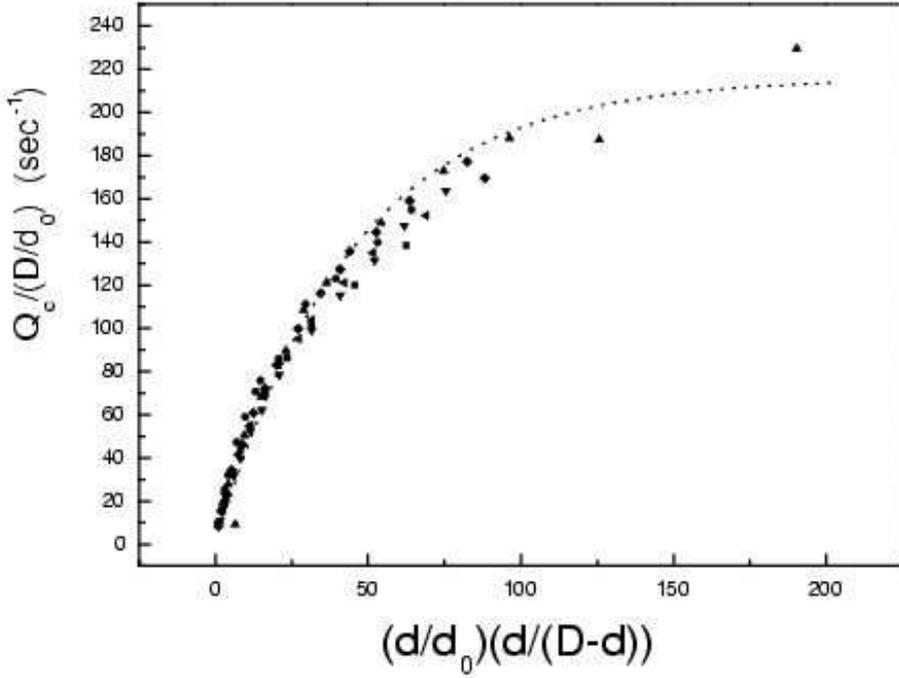


Fig. 3. The scaled  $q_c(\equiv Q_c/(D/d_0))$  versus a new scaling variable  $\lambda \left( \equiv \frac{d}{d_0} \frac{d}{D-d} \right)$ . The dotted line is a fit of  $q_c$  by  $q_m(1 - e^{-\lambda/\lambda_0})$ , where  $q_m = 216$  and  $\lambda_0 = 45$ .

at the two wedges on either side of the exit. After the wedges have been built up by the incoming flux, flows of surface layer in the form of avalanches will occur. The two wedges effectively act as collectors of incoming flux and direct them to the exit through the surface flow. Therefore, there are strong density fluctuations (in terms of area fraction  $p$ ) near the exit. When the discharge from the two wedges meet at the exit, the outflow can sometimes be blocked leading to dense flow. This blockage is intermittent if the incoming flux is not large enough and therefore producing strong fluctuations in  $Q(t)$ . However, if the incoming flux exceeds  $Q_c$ , the blockage becomes permanent and there are accumulation of the beads in the channel until the whole section is filled. Particle density  $\rho$  of area  $40 \text{ mm} \times 80 \text{ mm}$  at the exit is shown by averaging video pictures in Fig. 4. It is found that a dilute-to-dense transition will occur if  $\rho$  reaches a critical value  $\rho_c$ . In our experiments, it seems that the value of  $\rho_c$  corresponds to that of an area fraction of  $0.65 \pm 03$  (see Fig. 4) and is independent of  $Q_c$ ,  $d$  or  $D$ .

Following the observations discussed above, the flow across the channel can be divided into three regions; the central part with length  $d$  and the two wedges with base length  $(D - d)/2$ . If  $v_0$  and  $\rho_0$  are the velocity and density of the dilute flow initiated by the hopper just before particles reaching the wedges, the incoming flux on the wedge is  $v_0\rho_0 \frac{D-d}{2}$ . The outgoing flux of the wedge will be carried away by

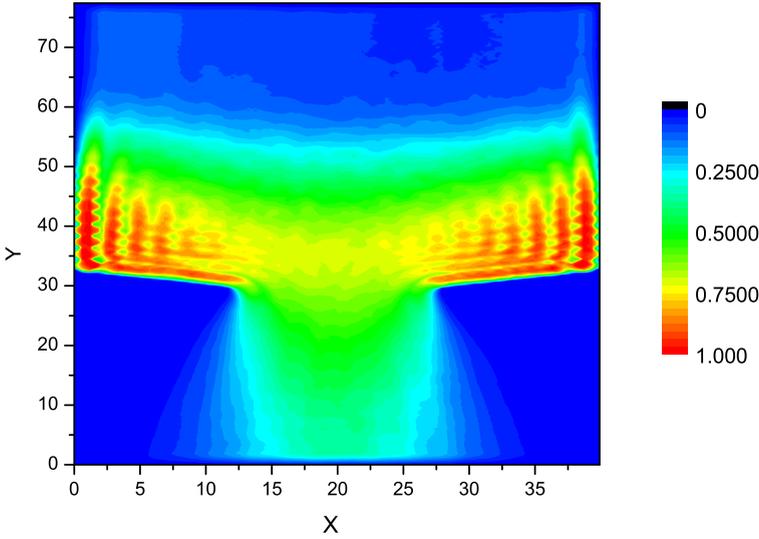


Fig. 4. The average occupancy probability of particles near the exit before transition occurs. In the figure particles flow from top to bottom. The exit width is 16 mm centered at  $x = 20$  and  $y = 30$ .

the fluidized surface layer mentioned as  $v_e \rho_c \delta$  where  $\delta$  and  $v_e$  are the depth and characteristic velocity of the surface layer respectively. Conservation of flux gives:  $\delta = \frac{v_0 \rho_0}{v_e \rho_c} \frac{D-d}{2}$ . In this model,  $\delta$  will increase with the incoming flux or  $\rho_0$ . When these two top layers meet at the exit, we have:  $\delta \beta = d/2$  where  $\beta$  is some geometric factor which take care of the angle of repose. Therefore, at the transition, we have:

$$f_c \equiv (v_0 \rho_0)_c = v_e \rho_c \beta^{-1} \frac{d}{D-d} \quad \text{or} \quad \frac{f_c}{f^*} = \frac{v_e}{v^*} \frac{d}{D-d}$$

where  $f^* \equiv v^* \rho_c \beta^{-1}$ .  $\frac{v_e}{v^*}$  is a function of system parameters  $D$  and  $d$ .

For the case of  $D \gg d$ , it is reasonable to assume that  $\frac{v_e}{v^*}$  depends only on  $\frac{d}{d_0}$ . It gives  $\frac{f_c}{f^*} \sim \lambda \equiv \left(\frac{d}{d_0}\right) \frac{d}{D-d}$  which agree with our result in Fig. 4.

In conclusion we have experimentally obtained a dilute-to-dense transition curve  $Q_c(Q_0, d_c)$ , at which the flow rate  $Q_0$  drops to  $Q_d$  at  $d_c$ . Instead of the intuitive scaling relationship  $d/D$ , experimental results show that a global scaling variable  $\lambda \equiv \frac{d}{d_0} \frac{d}{D-d}$  determines if the flow is dilute or dense at a given  $Q_0$ . The scaled  $q_c$  can be fitted in an empirical form  $q_m(1 - e^{-\lambda/\lambda_0})$ . The ratio  $\lambda/\lambda_0$  determines the critical flux of the system. Intuitively,  $\lambda_0$  is determined by physical properties of the system, such as the elasticity of the particles, particle size and the inclination angle of the plate etc. While the physics of jamming transition is determined by local scales close to  $d_0$ , our result suggests that the physics of dilute-to-dense transition is similar to hydrodynamic instability which is controlled by global scales such as  $(d/(D-d))$ . The discovery of this global scaling property may provide us with ideas

of better designs for the transport of granules in industrial processing, and better understanding of similar flow systems such as systems in traffic flows.

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